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Personalized Medicine: Cutting Edge Developments

Hans P. Zenner and Mijo Božić

Abstract ■.

1 Introduction

A fundamental problem of classical medicine is that preexisting therapies, such as 6 medications or medical devices are not effective in all affected individuals. For 7 example, efficacy is limited to 38% for antidepressants, 50% for arthritis, 70% for 8 Alzheimer's disease and only 74% for chemotherapy.¹ For suitable patients, per-9 sonalized (or individualized) medicine should remedy this. 10

Modern personalized medicine can be described in several dimensions. These 11 include the molecular dimension using so-called "omics" methods such as genomics, 12 proteomics, metabolomics or bacterioomics. One result of the omics procedures may 13 be the identification of biomarkers. These may allow the use of additional dimen-14 sions such as the functional-anatomical dimension. This may play a role in 15 biomarker-specific imaging procedures or in the biomarker-based stratification of 16 medical devices such as pacemakers or cochlear implants. 17

While the above methods involve stratification of the patients with respect to a 18 pre-existing therapy procedure, tailored medicine means that the therapy is tailored 19 specifically for the patient. The last dimension to address in this paper is the big data 20 dimension. 21

¹LEOPOLDINA statement (2014).

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22 2 Molecular Dimension

Using high throughput methods like NGS the "omex" methods of the molecular
dimension of personalized medicine perform a broad search for a biomarker as a
target. This should allow a predictive test for the efficacy or toxicity of a preexisting
treatment, usually of a drug or a medical device.

An important clinical application is the avoidance of adverse reactions. The drug Vemurafenib is used in malignant melanoma, where it acts as a BRAF inhibitor. However, it can produce spinaliomas as a side effect. With the help of genomicsbased identification of RAS mutations prediction of the individual risk for skin carcinomas may be possible.²

In the prediction of the therapeutic efficacy, gene variants may play a role in 32 determining changes in drug metabolism, drug delivery, or excretion. Statins serve 33 to reduce the level of cholesterol. However, they are effective only after uptake into 34 the liver. This requires transport proteins. Gene variants of these transport proteins 35 may reduce the transport of statins.³ Tamoxifen may be used after the surgery of 36 estrogen receptor positive breast carcinomas for the prevention of recurrences and 37 metastases. However, the efficacy of tamoxifen requires enzymatic conversion. 38 Thus, in cases of defects of the genes responsible for the production of these 39 enzymes (10% of European women are affected), the medication may be less 40 effective.4 41

An important target of oncological drugs is tyrosine kinase (TK). The TK
 antibody trastuzumab (Herceptin) can be used for breast tumors if there is an
 HER2 gene expression disorder.⁵ Vemurafenib is used for malignant melanoma
 (MM) when it is a BRAF-V600E-mutated or BRAF-V600K-mutated MM.

Another important target is the hedgehog pathway, which plays a role in skin
cancer basal cell carcinoma. Different proteins and their genes like the hedgehog
protein (PCTH1 gene) and smoothened protein (SMO gene) may play a role.
Therapeutic approach is a hedgehog protein inhibition e.g. by small molecules.

In particular, the genomics approach partly together with genom editing methods⁶ 50 has made a significant contribution to finding an entry into clinical care of gene 51 therapy. This includes application to the eye for the retina in Weber amaurosis and 52 the vestibular organ. Phase I/II studies on the treatment of monogenetic hereditary 53 eye diseases by gene therapy are e.g. for x-linked Chronic Granulomatosis, for 54 ADA-SCID ("Adenosine Deaminase Deficient Severe Combined Immunodefi-55 ciency") and for Wiskott-Aldrich Syndrome.⁷ Cochlea, heart muscle, spinal cord, 56 kidney, cartilage and lung are also expected as target organs. 57

 $^{^{2}}$ Suh et al. (2013).

³Canestaro et al. (2012), pp. 158–174.

⁴Goetz (2018), pp. 102–105.

⁵Slamon et al. (1989), pp. 707–712; Slamon et al. (2001), pp. 783–792.

⁶Karimian et al. (2019).

⁷Anliker et al. (2015), pp. 11–12.

Molecular personalized medicine my not only play a role for drug application but 58 also for the indication for medical devices. Technologically this is also based on 59 targeted gene capture and high-throughput sequencing. An example is the stratifi- 60 cation of a few months old totally deafened newborn for early pediatric cochlear 61 implantation (CI). Instead of the usual single-gene diagnostics, high-throughput 62 sequencing can sequence all known genes for deafness (currently about 110, in the 63 future probably around 200) in parallel. If genetic deafness is suspected, the molec- 64 ular cause can be elucidated in more than 50% of cases.⁸ Frequently found of gene 65 disorders may be linked to connexin, KCNO4 or OTOF. Depending on the affected 66 gene and its functional significance the chances of success for cochlear implantation 67 may also be limited (e.g., for the gene TMPRSS3). Conversely, for a purely sensory 68 and non-neuronal genetic cause, the functional prognosis for cochlear implantation 69 is favorable (e.g., for the GJB2 or MYO7A genes). If it is an early childhood 70 auditory neuropathy, the molecular genetic analysis of the gene OTOF, which 71 encodes the protein otoferlin, can be helpful. Otoferlin is important for the control 72 of an ion channel of hair cells, which in turn plays a role in frequency coding.⁹ The 73 mutational analysis of connexin 26 in bilateral high-grade deafness and deafness 74 plays a widespread role.¹⁰ Missing or inadequate expression leads to disruptions in 75 gap junctions of the inner ear.¹¹ The human genetic analysis of the gene encoding 76 connexin 26 is therefore often used for the indication of early childhood cochlear 77 implantation.¹² In addition, the routine analysis of the DFNA2 gene, which codes for 78 the ion channel KCNO4 in hair cells, is emerging. KCNO4 is an important ion 79 channel that plays an indispensable role at the end of the transduction cycle.¹³ Its 80 absence can lead to deafness and may thus contribute to the early childhood 81 indication of a cochlear implant.¹⁴ Further, in the future, all known Usher genes 82 can be examined early for changes in to early identify the Usher syndrome at the 83 onset of deafness or early onset of vision problems, especially in childhood.¹⁵ In this 84 way, the indication for a cochlear implant can be made in good time so that a 85 communication ability is maintained despite deafness and blindness.¹⁶ 86

In the area of inherited heart disease, namely dilated cardiomyopathy, there is 87 evidence for the installation of an ICD (Implantable Cardioverter Defibrillator) in the 88

⁸Friese et al. (2015), pp. 428–433.

⁹Friese et al. (2015), pp. 428–433.

¹⁰Brown and Rehm (2012).

¹¹Qu et al. (2012), pp. 245–250.

¹²Black et al. (2011), pp. 67–93.

¹³Gitter et al. (1986), pp. 68–75.

¹⁴Walter et al. (2011).

¹⁵Yang et al. (2012), pp. 1165–1183.

¹⁶Loundon et al. (2003), pp. 216–221.

presence of a mutation in the LMNA gene. Patients with long QT syndrome and mutations in the genes KCNH2 or SCN5A can also receive an ICD early on.¹⁷

91 **3** Functional-Anatomical Dimension of Individualization

Modern imaging techniques contribute to the anatomical dimension of personalized 92 medicine. Positron emission tomography (PET) and single-photon emission com-93 puted tomography (SPECT) provide the ability to display the distribution of a 94 radiolabeled biomarker (tracer) on an individual molecular target in a patient's 95 body three-dimensionally (3D).¹⁸ This applies, for example, to receptors. Using 96 these approaches individualized biomarker-based image localization, for example 97 of tumors, is possible, which may play an important role in individualized 98 radiotherapy. 99

Equally based on imaging techniques an important patient stratification for 100 medical devices consists in the consideration and use of the individual anatomy. A 101 typical example is cochlear implantology. The consideration of the individual 102 anatomy is technologically based on the high-resolution digital imaging combined 103 with the functional topodiagnostics of modern audiometry allowing mapping the 104 individual frequency card of the patient on the measured total length of the cochlea. 105 Depending on the extent of the functional SNHL the individually distorted area 106 along the cochlea can be calculated. This results in the selection of an electrode 107 length matched to the individual residual hearing function. 108

109 4 Tailored Medicine

Tailored medicine has been available in a conventional manner for many years, 110 when prostheses and implants for skull reconstruction, orthopedic implants or even 111 dental implants and cardio-vascular stents are made individualized. Today, however, 112 113 tailored medicine has reached new horizons and uses approaches derived from cellular and molecular medicine. In oncology by introducing a so-called chimeric 114 antigen receptor (CAR) into T cells, CAR- expressing T cells are able to specifically 115 bind to and destroy cancer cells in the patient.¹⁹ In addition to the clinical success, 116 however, these therapies sometimes also show severe side effects. This includes 117

¹⁷van Rijsingen et al. (2012), pp. 493–500; Priori et al. (2003), pp. 1866–1874; Priori et al. (2015), pp. 2793–2867.

¹⁸Schober and Heindel (2010), Bailey et al. (2015), pp. 595–608. Hicks and Hofman (2012), pp. 712–720; Weber (2006), pp. 3282–3292. Mankoff et al. (2014), pp. 525–528; Mankoff et al. (2016), pp. 47–56; Haberkorn et al. (2016), pp. 9–15.

¹⁹Maus et al. (2014), pp. 2625–2635.

e.g. the so-called cytokine release syndrome, in which patients show excessive 118 inflammatory response with high fever, pulmonary edema and organ failure. 119

T cells can also be obtained as directed virus-specific T cells from donors by 120 leukapheresis and subsequently purified after incubation with the corresponding 121 virus peptides. Furthermore, genomic analyzes may identify neo-antigens and the 122 associated DNA and RNA, which together with an X-point blockade should allow 123 the production of neo-antigenic vaccines. Moreover, patients with severe viral 124 infections, in whom conventional therapies are exhausted and no longer effective, 125 can benefit from the transfer of virus-specific T cells as individualized medicine.²⁰ 126

A further approach of tailored medicine includes regenerative medicine that 127 serves to restore the structure and function of destroyed cells, tissues and organs. 128 As a rule, regenerative medicine targets certain cells, be they somatic or stem cells— 129 natural or artificial—on which the restoration of tissues, organs and functions 130 depends. On the one hand, the regenerative therapy is carried out directly in the 131 patient's organism, e.g. genes, cell cycle inhibitors or activators, cell products or 132 components or growth factors can be introduced either systemically or locally. The 133 goal is cell and subsequent tissue regeneration by cell division or by cellular 134 transformation. 135

Regeneration may include tissue engineering. Clearly individualized tissue engineering is not new. Ex vivo applications may include scaffold cell/tissue hybrids 137 using, for example, a patient's own skin cells, brain cells, peripheral nerve cells, 138 bone cells, cartilage cells, islet cells, sensory cells, heart cells, connective tissue or even tendons²¹ to produce e.g. skin, heart valves or even stents. The underlying cell culture processes may be highly specialized and should enable rapid and qualitatively reproducible production of cells or tissues in large numbers.²²

The possibility of reprogramming specialized differentiated body cells in personalized iPS cells has led to a significant paradigm shift.²³ On a therapeutic level, there refuture opportunities for obtaining patient-derived stem cells for cell therapies and for use in somatic gene therapy. On the other hand, it should also be pointed out that iPS cells are usually derived from adult cells, thus kind of old cells are obtained, which may already be afflicted with mutations, which are then also contained in the iPS cells. It is also unclear to what extent an epigenetic "memory" of the initial cells in iPS cells has an effect on the differentiation of iPS cells.²⁴ From the ethical and 150

²⁰Feucht et al. (2015), pp. 1986–1994. Tischer et al. (2014), p. 336.

²¹Frick et al. (2017), pp. 105–114; Tudorache et al. (2016a), pp. 89–97; Tudorache et al. (2016b), pp. 1228–1238; Flanagan et al. (2007), pp. 3388–3397; Koch et al. (2010), pp. 4731–4739; Weinandy et al. (2012), pp. 1818–1826; Moreira et al. (2014), pp. 741–748; Hess et al. (2010), pp. 3043–3053; Wiegmann et al. (2014), pp. 8123–8133; Dietrich et al. (2015), Fuehner et al. (2012), pp. 763–768; Schmitz and Grabow (2015), pp. 143–162; Soares and Moore (2015), Haude et al. (2016), pp. 2701–2709; Piazza and Cribier (2012).

²²Lee et al. (2015), pp. 2379–2387; Egami et al. (2014), pp. 96–106; Fraunhofer (2016): http://www.ipa.fraunhofer.de/automatisierte_zellkultur.html.

²³Hou et al. (2014), pp. 179–188.

²⁴acatech POSITION (2017).

regulatory point of view iPS cells are not subject e.g. to the German Embryo 151 Protection Act (Embryonenschutzgesetz—EschG). They also do not fall under the 152 regulations of the German Stem Cell Act (Stammzellgesetz—StZG). The handling 153 of iPS cells is therefore not regulated by law; although they are pluripotent, as 154 determined in par. 3 no. 1 StZG for stem cells. However, to be covered by the 155 provisions of the StZG, they would have to have been obtained from embryos (par. 156 3 no. 2 StZG) and would have been pluripotent at the time they were obtained from 157 the embryos (par. 3 no. 1 in conjunction with no. 2 StZG). In fact, the latter 158 requirement is lacking because iPS cells become pluripotent only through 159 reprogramming. They are not taken from embryos in their capacity as pluripotent 160 cells. 161

162 5 Big Data

Final dimension is the big data dimension produced by digitized medicine. Increas-163 ingly digitized data from an individual patient is available through, for example, 164 imaging, laboratory analysis, or functional examinations. Merging and processing of 165 huge amounts of data enables individualized organ modeling such as mathematical 166 cardiac models. Such a personalized model may be based on a patient's myocardial 167 cell and their ion channels and the interaction of the cells in the heart tissue. Then the 168 whole organ may be considered and finally the organ may be embedded in the 169 circulation and the body.²⁵ 170

Such a model may allow determining the individual impact of individual ionic channel variant and relevant drugs on heart electrophysiology, pumping function and the entire cardiovascular system.²⁶ Other examples are organ models of the ear which allow subtraction of the middle ear physiology to thereby capture parameters of the inner ear from the eardrum.

Further modeling may include models of tumor growth and influence of tumor growth by cytostatics and ionizing radiation, models of human circulation for anesthesia, models of bones and joints for orthopedics and surgery, models of respiration and gas exchange in the lung, models of sugar metabolism for diabetes patients, and models of electrolyte balance for dialysis patients.²⁷

²⁵acatech POSITION (2017).

²⁶acatech POSITION (2017).

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